

0	For receiving Office use only	
0-1		
0-2	International Filing Date	
0-3	Name of receiving Office and "PCT International Application"	
0-4	Form - PCT/RO/101 PCT Request	
0-4-1	Prepared using	PCT-EASY Version 2.92
		(updated 01.07.2003)
0-5	Petition The undersigned requests that the present international application be processed according to the Patent	
0-6	Cooperation Treaty Receiving Office (specified by the	
	applicant)	Indian Patent Office (RO/IN)
0-7	Applicant's or agent's file reference	HDL-PCT-14
1	Title of invention	A NOVEL PROCESS FOR EZETIMIBE
	·	INTERMEDIATE
11	Applicant	
II-1	This person is:	applicant only
11-2	Applicant for	all designated States except US
II-4	Name	HETERO DRUGS LIMITED
11-5	Address:	Hetero House,
,		8-3-166/7/1, Erragadda, Hyderabad,
		Andhrapradesh.
	·	500 018 Hyderabad
11-6	Chair of a Alexandria	India
11-0	State of nationality	IN
II-7 II-8	State of residence	IN
•	Telephone No	0091-40-23714249
11-9	Facsimile No.	0091-40-23774646
II-10	e-mail	bpsreddy@heterodrugs.com
111-1	Applicant and/or inventor	
iii-1-1	This person is:	applicant and inventor
111-1-2	Applicant for	US only
III-1-4	Name (LAST, First)	PARTHASARADHI REDDY, bandi
III-1-5	Address:	Hetero House,
		8-3-166/7/1, Erragadda, Hyderabad,
		Andhrapradesh.
		500 018 Hyderabad
1140	State of nation in	India
li-1-6	State of nationality	IN
11-1-7	State of residence	IN



111-2	Applicant and/or inventor	
111-2-1	This person is:	applicant and inventor
111-2-2	Applicant for	US only
111-2-4	Name (LAST, First)	RATHNAKAR REDDY, kura
111-2-5	Address:	Hetero Drugs Limited (R & D),
		Plot No. B-80 & 81, A.P.I.E., Balanagar,
		Hyderabad
		Andhrapradesh.
		500 018 Hyderabad
	·	India
111-2-6	State of nationality	IN
111-2-7	State of residence	IN
111-3	Applicant and/or inventor	
111-3-1	This person is:	applicant and inventor
111-3-2	Applicant for	US only
111-3-4	Name (LAST, First)	RAJI REDDY, rapolu
111-3-5	Address:	Hetero Drugs Limited (R & D),
		Plot No. B-80 & 81, A.P.I.E., Balanagar,
		Hyderabad,
	···	Andhrapradesh.
		500 018 Hyderabad
		India
III-3-6	State of nationality	IN
111-3-7	State of residence	IN
111-4	Applicant and/or inventor	
111-4-1	This person is:	applicant and inventor
III-4-2	Applicant for	US only
111-4-4	Name (LAST, First)	MURALIDHARA REDDY, dasari
111-4-5	Address:	Hetero Drugs Limited (R & D),
		Plot No. B-80 & 81, A.P.I.E., Balanagar,
		Hyderabad,
		Andhrapradesh.
	ĺ	500 018 Hyderabad
111-4-6	State of nationality	India
111-4-7	State of residence	IN
111-4-/	Size of residence	IN

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111-5	Applicant and/or inventor	
111-5-1	This person is:	applicant and inventor
111-5-2	Applicant for	US only
111-5-4	Name (LAST, First)	SUBASH CHANDER REDDY, kesireddy
111-5-5	Address:	Hetero Drugs Limited (R & D),
		Plot No. B-80 & 81, A.P.I.E., Balanagar,
		Hyderabad,
	-	Andhrapradesh.
		500 018 Hyderabad
	1	India
111-5-6	State of nationality	IN
111-5-7	State of residence	IN
V	Designation of States	
V-1	Regional Patent (other kinds of protection or treatment,	AP: GH GM KE LS MW MZ SD SL SZ TZ UG ZM
	If any, are specified between	ZW and any other State which is a
	parentheses after the designation(s) concerned)	Contracting State of the Harare Protocol
		and of the PCT
		EA: AM AZ BY KG KZ MD RU TJ TM and any
		other State which is a Contracting State
		of the Eurasian Patent Convention and of
	7.	the PCT
		EP: AT BE BG CH&LI CY CZ DE DK EE ES FI
		FR GB GR HU IE IT LU MC NL PT RO SE SI
		SK TR and any other State which is a Contracting State of the European Patent
		Convention and of the PCT
,		OA: BF BJ CF CG CI CM GA GN GQ GW ML MR
		NE SN TD TG and any other State which is
		a member State of OAPI and a Contracting
		State of the PCT
V-2	National Patent (other kinds of protection or treatment,	AE AG AL AM AT AU AZ BA BB BG BR BY BZ
	If any, are specified between	CA CH&LI CN CO CR CU CZ DE DK DM DZ EC
. (parentheses after the designation(s) concerned)	EE EG ES FI GB GD GE GH GM HR HU ID IL
]	·	IN IS JP KE KG KP KR KZ LC LK LR LS LT
1		LU LV MA MD MG MK MN MW MX MZ NI NO NZ
. 1	1	OM PG PH PL PT RO RU SC SD SE SG SK SL
•		SY TJ TM TN TR TT TZ UA UG US UZ VC VN
1		YU ZA ZM ZW

V-5	Precautionary Designation Statement	
	In addition to the designations made under items V-1, V-2 and V-3, the applicant also makes under Rule 4.9(b) all designations which would be permitted under the PCT except any designation(s) of the State(s) indicated under item V-6 below. The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit.	
V-6	Exclusion(s) from precautionary	NONE
VI	designations	
	Priority claim	NONE
VII-1	International Searching Authority Chosen	Austrian Patent Office (ISA/AT)
VIII	Declarations	Number of declarations
VIII-1	Declaration as to the identity of the inventor	-
VIII-2	Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent	1
VIII-3	Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application	
VIII-4	Declaration of inventorship (only for the purposes of the designation of the United States of America)	1
VIII-5	Declaration as to non-prejudicial disclosures or exceptions to lack of novelty	

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VIII-2-1	and be granted a patent	·
	Declaration as to the applicant's	in relation to this international
	entitlement, as at the international filing date, to apply for and be granted a patent (Rules 4 17(ii) and 51bis.1(a)(ii)), in a case where the declaration under Rule 4.17(iv) is not appropriate:	application
	Name:	HETERO DRUGS LIMITED
		is entitled to apply for and be granted a patent by virtue of the following:
VIII-2-1		HETERO DRUGS LIMITED is entitled as
(ii)		employer of the inventor, PARTHASARADHI REDDY, bandi
VIII-2-1	·	HETERO DRUGS LIMITED is entitled as
(ii)		employer of the inventor, RATHNAKAR REDDY, kura
VIII-2-1		HETERO DRUGS LIMITED is entitled as
(ii)		employer of the inventor, RAJI REDDY, rapolu
VIII-2-1		HETERO DRUGS LIMITED is entitled as
(H)		employer of the inventor, MURALIDHARA REDDY, dasari
VIII-2-1		HETERO DRUGS LIMITED is entitled as
(ii) ·		employer of the inventor, SUBASH CHANDER REDDY, kesireddy
	This declaration is made for the purposes of:	all designations except the designation of the United States of America
,		

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VIII-4-1 Declaration: Inventorship (only for the purposes of the designation of the United States of America)
Declaration of inventorship (Rules 4 17(iv) and 51bis.1(a)(iv)) for the purposes of the designation of the

United States of America:

I hereby declare that I believe I am the original, first and sole (if only one inventor is listed below) or joint (if more than one inventor is listed below) inventor of the subject matter which is claimed and for which a patent is sought.

This declaration is directed to the international application of which it forms a part (if filing declaration with application).

I hereby declare that my residence, mailing address, and citizenship are as stated next to my name.

I hereby state that I have reviewed and understand the contents of the above-identified international application, including the claims of said application. I have identified in the request of said application, in compliance with PCT Rule 4.10, any claim to foreign priority, and I have identified below, under the heading "Prior Applications," by application number, country or Member of the World Trade Organization, day, month and year of filing, any application for a patent or inventor's certificate filed in a country other than the United States of America, including any PCT international application designating at least one country other than the United States of America, having a filing date before that of the application on which foreign priority is claimed.

VIII-4-1 Prior applications:

VIII-4-1

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I hereby acknowledge the duty to disclose information that is known by me to be material to patentability as defined by 37 C.F.R. § 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the PCT international filing date of the continuation-in-part application. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. PARTHASARADHI REDDY, bandi Hyderabad, India 8-3-166/7/1, Erragadda, Hyderabad, Andhrapradesh. IN 20-11-2003

-1-1 VIII-4-1 Residence: (city and either US State, if applicable, -1-2 or country) VIII-4-1 Mailing address: -1-3 VIII-4-1 Citizenship: -1-4 VIII-4-1 Inventor's Signature: -1-5 (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent) VIII-4-1 Date: (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter

after the filing of the international

application)

VIII-4-1 Name:

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VIII-4-1 -2-1	Name:	RATHNAKAR REDDY, kura
VIII-4-1 -2-2	Residence: (city and either US State, if applicable, or country)	Hyderabad, India
VIII-4-1 -2-3	Mailing address:	Plot No. B-80 & 81, A.P.I.E., Balanagar, Hyderabad Andhrapradesh.
VIII-4-1 -2-4	Citizenship:	IN
VIII-4-1 -2-5	Inventor's Signature: (If not contained In the request, or if declaration is corrected or added under Rule 26ter after the filling of the international application. The signature must be that of the inventor, not that of the agent)	
VIII-4-1 -2-6	Date: (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the International application)	. 20 11 2003.
VIII-4-1 -3-1	Name:	RAJI REDDY, rapolu
VIII-4-1 -3-2	Residence: (city and either US State, if applicable, or country)	Hyderabad, India
VIII-4-1 -3-3	Mailing address:	Plot No. B-80 & 81, A.P.I.E., Balanagar, Hyderabad, Andhrapradesh.
VIII-4-1	Citizenship:	IN
-3-4 VIII-4-1	Inventor's Signature:	
I	(If not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of	20/11/2003
VIII-4-1 -3-6	the agent) Date: (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)	

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VIII-4-1	Name:	MURALIDHARA REDDY, dasari
-4-1		MONTH REDDI, UGBGIT
VIII-4-1 -4-2	Residence: (city and either US State, if applicable, or country)	Hyderabad, India
VIII-4-1 -4-3	Mailing address:	Plot No. B-80 & 81, A.P.I.E., Balanagar, Hyderabad,
		Andhrapradesh.
VIII-4-1 -4-4	Citizenship:	IN / 1
VIII-4-1 -4-5	Inventor's Signature: (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	1000
VIII-4-1 -4-6	Date: (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)	20/11/03
VIII-4-1	Name:	SUBASH CHANDER REDDY, kesireddy
-5-1 VIII-4-1	Residence:	-
-5-2	(city and either US State, if applicable, or country)	Hyderabad, India
VIII-4-1	Mailing address:	Plot No. B-80 & 81, A.P.I.E., Balanagar,
-5-3		Hyderabad,
		Andhrapradesh.
VIII-4-1 -5-4	Citizenship:	IN
VIII-4-1 -5-5	Inventor's Signature: (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	20/11/03
VIII-4-1 -5-6	Date: (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)	

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IX	Check list	number of sheets	electronic file(s) attached
IX-1	Request (including declaration sheets)	11	- electronic file(s) attached
IX-2	Description	7	_
IX-3	Claims	2	_
IX-4	Abstract	1	EZABST00.TXT
IX-5	Drawings	0	-
IX-7	TOTAL	21	
	Accompanying Items	paper document(s) attached	electronic file(s) attached
IX-8	Fee calculation sheet		_
IX-17	PCT-EASY diskette	_	Diskette
IX-19	Figure of the drawings which should accompany the abstract		
IX-20	Language of filing of the international application	English	
X-1-1	Signature of applicant, agent or common representative	Door	20-11-2002
	Name	HETERO DRUGS LIMITED	
X-1-2 X-2	Name of signatory	PARTHASARADHI REDDY,	bandi
X-2-1	Signature of applicant, agent or common representative Name (LAST; First)	PARTHASARADHI REDDY,	10-11-2003
X-3	Signature of applicant, agent or common representative		
X-3-1	Name (LAST, First)	RATHNAKAR REDDY, Kura	203.
X-4	Signature of applicant, agent or common representative	Maib II-	_11-2603
X-4-1	Name (LAST, First)	RAJI REDDY, rapolu	
X-5	Signature of applicant, agent or common representative	10mm	
X-5-1		MURALIDHARA REDDY, da	ひら・ sari
X-6	Signature of applicant, agent or common representative	Lekely 20	11103.
X-6-1	Name (LAST, First)	SUBASH CHANDER REDDY,	

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10-1	Date of actual receipt of the purported international application	
10-2	Drawings:	·
10-2-1	Received	
10-2-2	Not received	

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10-3	Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application	
10-4	Date of timely receipt of the required corrections under PCT Article 11(2)	
10-5	International Searching Authority	ISA/AT
10-6	Transmittal of search copy delayed until search fee is paid	

FOR INTERNATIONAL BUREAU USE ONLY

11-1	Date of receipt of the record copy by	
	the International Bureau	

PCT-EASY INFORMATION SHEET

(For applicant use only, DO NOT submit this sheet with the international application)

VALIDATION LOG

	Contents
Green?	The international application contains no drawings. Please verify.
	Annotate
Green'?	The name of the person signing the request or/and the capacity in which the person signs has/have not been
	indicated. Please be informed that some receiving Offices require that this information be present along with the
	signature.

Before submitting the International Application, please carefully verify that:

- -the information contained on printed Request form is correct;
- -Box X of the Request form has been signed;
- -all elements of the international application as indicated in Boxes VIII and IX of the Request form have been attached; and,
- -the diskette containing the PCT-EASY zip file of the International Application has been enclosed and has been clearly labeled "PCT-EASY", with the applicant's or agent's file reference, and the first applicant's name.

ATTENTION

DO NOT modify any indications on the Request form printout. The electronic version of the PCT-EASY application has been locked. If an error or an omission is discovered at this time, you must reopen the stored form for submission, perform necessary amendments and immediately resubmit the form. Finally, a NEW submission diskette must be created manually by resending the corrected stored form to the diskette. The previously created printout and submission diskette must be destroyed in order to prevent the possibility of erroneously sending it to the RO.